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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/056,136	01/24/2002	Nobuyuki Tatsumi	NGB-12930	2328

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RANKIN, HILL, PORTER & CLARK LLP
4080 ERIE STREET
WILLOUGHBY, OH 44094-7836

EXAMINER

GORDON, BRIAN R

ART UNIT	PAPER NUMBER
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1743

DATE MAILED: 11/14/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/056,136

Applicant(s)

TATSUMI, NOBUYUKI

Examiner

Brian R. Gordon

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 September 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 3, 5 and 13 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1 is/are allowed.
- 6) ☒ Claim(s) 3, 5, 13 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 1-24-02 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Response to Arguments

Applicant's arguments, see remarks, filed September 19, 2005, with respect to the rejection(s) of claim(s) 1, 3, 5, and 13 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Sohrab, Bitach et al. and Wagner et al.

In view of applicants, arguments/amendment the previous final rejections of the claims are hereby withdrawn.

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 3 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over El-Hage et al. US 5,843,378 in view of Sohrab US 6,793,632.

El-Hage et al. teaches aspirating and dispensing probes are often used to transfer liquids between various vessels (plurality of vessel) and compartments in a chemical analyzer. The liquids typically include samples to be tested and reagents for testing the samples.

The probe successively aspirates reagents from reagent vessels and transfers the reagents to the reaction cuvette. After the sample-reagent mixture incubates in the reaction cuvette, the probe transfers the reaction products to an analysis chamber (liquid analysis apparatus).

A preferred embodiment of the invention is illustrated in FIGS. 1-9. FIG. 1 shows a probe 10 for dispensing and aspirating liquid into and out of a vessel 14. Vessel 14 is held in a rack 16 which is mounted on a carousel. Probe 10 is attached to a probe positioning device, such as a mechanical arm 12. Arm 12 is designed to position probe

10 in an appropriate vessel for aspirating or dispensing liquid. Such mechanical arms for positioning probes are well known in the art.

FIG. 2 shows a cross sectional view of probe 10 (needle) and a portion of arm 12. Probe 10 includes an electrically insulative tube 18, an electrically conductive fluid conduit 26, and an electrically conductive rod 30. Conduit 26 and rod 30 are made of a relatively inert material so that they do not chemically react with sample and reagent liquids. The inert material is preferably stainless steel or gold-coated copper.

A washing station (rinsing means) is typically provided to wash the probe between aspirations of different substances.

El-Hage et al. do not disclose the needle as comprising a resin coating of polyetheretherketone (PEEK).

Sohrab discloses a device for sampling at least one biological fluid constituent and measuring at least one target constituent within the biological fluid.

To be able to withstand a sterilization process the micro-needles and/or the array of micro-needles may be formed of or coated with an insulating material, such as a ceramic, glass, silica, polymer, plastics and the like. Examples of polymers are polyacrylates, epoxies, polyesters polyetheretherketone, liquid crystalline polyesters, or their composites.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the needle of El-Hage et al. by coating it with PEEK material in order to ensure the integrity of the needle is maintained throughout a sterilization/washing process and to avoid corrosion.

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5. Claims 3 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over King et al. US 6,132,582. in view of Sohrab US 6,793,632.

King et al. disclose a sample handling system in a multi-channel capillary electrophoresis apparatus is disclosed. The sample handling system includes a work surface for supporting a plurality of samples located at a plurality of work surface coordinates (plurality of vessels) and a sample loading assembly comprising a plurality of loading wells. At least one of the loading wells includes a capillary fixedly positioned therein. The system further includes a programmable sample transfer device for **automatically transferring** a sample from a work surface coordinate to a loading well.

The material used to fabricate the pipette (needle) will depend upon the requirements of a particular application. Factors to be considered include wettability, rigidity and conductivity. Where the sample is a liquid, the wettability of the pipette should be such that sample may be introduced into the pipette in a controlled and reproducible manner. When the pipettes are passively loaded with sample using capillary action, generally the pipette should be wettable by the sample material. It is preferable that the pipette be rigid in order to facilitate location of the inlet end of the pipette with respect to the robot arm. Finally, where an electrical measurement is used in the tip sensor, the pipette should be electrically conductive. Preferred pipette materials include but are not limited to stainless steel, platinum and gold coated materials, glass, fused silica, and plastic or plastic coated materials, e.g., stainless steel coated with a parylene (synthetic resin).

The loading wells 20 located in the loading bar 150 include fluid passages 165 for introducing fluids into the loading wells, e.g., wash solvents for washing the loading wells between samples or electrophoresis buffer, and for removing fluids from the loading well, e.g., drying the loading wells after washing with wash solvents or removing residual sample after an injection step (column 7, line 65 – column 8, line 7).

Optionally, the sample loading assembly further provides a means for washing the exterior surface of a pipette associated with the sample transfer device 25. The capillary tubes 21 (liquid analysis apparatus) within which electrophoresis is performed are fixedly located in the loading wells during operation of the system (column 7, lines 49-55).

King et al. do not disclose the needle (pipette) as comprising a resin coating of polyetheretherketone (PEEK).

Sohrab discloses a device for sampling at least one biological fluid constituent and measuring at least one target constituent within the biological fluid.

To be able to withstand a sterilization process the micro-needles and/or the array of micro-needles may be formed of or coated with an insulating material, such as a ceramic, glass, silica, polymer, plastics and the like. Examples of polymers are polyacrylates, epoxies, polyesters polyetheretherketone, liquid crystalline polyesters, or their composites.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the needle of King et al. by substituting the coating with PEEK

material in order to ensure the integrity of the needle is maintained throughout a sterilization/washing process and to avoid corrosion.

6. Claim 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over El-Hage et al. US 5,843,378 in view of Batich et al. US 2005/0017099 or in the alternative Wagner et al., US 5,938,640.

El-Hage et al. teaches aspirating and dispensing probes are often used to transfer liquids between various vessels (plurality of vessel) and compartments in a chemical analyzer. The liquids typically include samples to be tested and reagents for testing the samples.

The probe successively aspirates reagents from reagent vessels and transfers the reagents to the reaction cuvette. After the sample-reagent mixture incubates in the reaction cuvette, the probe transfers the reaction products to an analysis chamber (liquid analysis apparatus).

A preferred embodiment of the invention is illustrated in FIGS. 1-9. FIG. 1 shows a probe 10 for dispensing and aspirating liquid into and out of a vessel 14. Vessel 14 is held in a rack 16 which is mounted on a carousel. Probe 10 is attached to a probe positioning device, such as a mechanical arm 12. Arm 12 is designed to position probe 10 in an appropriate vessel for aspirating or dispensing liquid. Such mechanical arms for positioning probes are well known in the art.

FIG. 2 shows a cross sectional view of probe 10 (needle) and a portion of arm 12. Probe 10 includes an electrically insulative tube 18, an electrically conductive fluid conduit 26, and an electrically conductive rod 30. Conduit 26 and rod 30 are made of a

relatively inert material so that they do not chemically react with sample and reagent liquids. The inert material is preferably stainless steel or gold-coated copper.

A washing station (rinsing means) is typically provided to wash the probe between aspirations of different substances.

El-Hage et al. does not disclose the coating as being nickel or chromium.

Batich et al. disclose a method of coating needles in particular stainless steel (see paragraph 0023).

It would have been obvious to one of ordinary skill in the art at the time of the invention to recognize the stainless steel needle of El-Hage may be modified by coating it with nickel or chromium as taught by Batich et al. in order employ a less corrosive needle or narrow its bore.

Wagner et al. disclose a needle with a plating of radioactive metal and covered with additional layers of plating to prevent subsequent rubbing off of radioactive material, decreases local or systemic reactions to the plating materials, and prevents decomposition of the underlying plating materials.

The radioactive needle 1 is illustrated in FIG. 1. The needle has a tubular shaft of metal 2 terminating in a needle point with an opening 5 that runs the length of the tubular shaft. The tubular shaft 2 is most commonly comprised of stainless steel. This is connected to a needle hub 1 that can be made of either metal or plastic. The needle hub is securely fastened to the tubular shaft 2. The needle hub 1 can be attached to a syringe by the user. The tubular shaft is plated with layers of various metals. For the purpose of illustration, the plated area of the needle portion having intermediate plating

and outer plating 3 and the plated area of the needle portion having radioactive plating, intermediate plating and outer plating 4 are colored differently. Plated area 4 is part of the tubular shaft 2 plated with a layer of radioactive metal which is covered by an intermediate layer of plating material such as nickel and an outer layer of plating material such as gold. Plated area 3 is part of the tubular shaft 2 plated only with the intermediate layer such as nickel and outer layer such as gold. Since areas 3 and 4 are both plated with the same outer layer of material, they will be visually indistinguishable.

It would have been obvious to one of ordinary skill in the art at the time of the invention modify the stainless steel needle of El-Hage to include a nickel coating in order to prevent the decomposition of the stainless steel.

7. Claim 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over King et al. in view Batich et al. US 2005/0017099.

King et al. disclose a sample handling system in a multi-channel capillary electrophoresis apparatus is disclosed. The sample handling system includes a work surface for supporting a plurality of samples located at a plurality of work surface coordinates (plurality of vessels) and a sample loading assembly comprising a plurality of loading wells. At least one of the loading wells includes a capillary fixedly positioned therein. The system further includes a programmable sample transfer device for **automatically transferring** a sample from a work surface coordinate to a loading well.

The material used to fabricate the pipette (needle) will depend upon the requirements of a particular application. Factors to be considered include wettability, rigidity and conductivity. Where the sample is a liquid, the wettability of the pipette

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should be such that sample may be introduced into the pipette in a controlled and reproducible manner. When the pipettes are passively loaded with sample using capillary action, generally the pipette should be wettable by the sample material. It is preferable that the pipette be rigid in order to facilitate location of the inlet end of the pipette with respect to the robot arm. Finally, where an electrical measurement is used in the tip sensor, the pipette should be electrically conductive. Preferred pipette materials include but are not limited to stainless steel, platinum and gold coated materials, glass, fused silica, and plastic or plastic coated materials, e.g., stainless steel coated with a parylene (synthetic resin).

The loading wells 20 located in the loading bar 150 include fluid passages 165 for introducing fluids into the loading wells, e.g., wash solvents for washing the loading wells between samples or electrophoresis buffer, and for removing fluids from the loading well, e.g., drying the loading wells after washing with wash solvents or removing residual sample after an injection step (column 7, line 65 – column 8, line 7).

Optionally, the sample loading assembly further provides a means for washing the exterior surface of a pipette associated with the sample transfer device 25. The capillary tubes 21 (liquid analysis apparatus) within which electrophoresis is performed are fixedly located in the loading wells during operation of the system (column 7, lines 49-55).

King et al. does not disclose the coating as being nickel or chromium.

Batich et al. disclose a method of coating needles in particular stainless steel (see paragraph 0023).

It would have been obvious to one of ordinary skill in the art at the time of the invention to recognize the stainless steel needle of Kling may be modified by coating it with nickel or chromium as taught by Batich et al. in order employ a less corrosive needle or narrow its bore.

Wagner et al. disclose a needle with a plating of radioactive metal and covered with additional layers of plating to prevent subsequent rubbing off of radioactive material, decreases local or systemic reactions to the plating materials, and prevents decomposition of the underlying plating materials.

The radioactive needle 1 is illustrated in FIG. 1. The needle has a tubular shaft of metal 2 terminating in a needle point with an opening 5 that runs the length of the tubular shaft. The tubular shaft 2 is most commonly comprised of stainless steel. This is connected to a needle hub 1 that can be made of either metal or plastic. The needle hub is securely fastened to the tubular shaft 2. The needle hub 1 can be attached to a syringe by the user. The tubular shaft is plated with layers of various metals. For the purpose of illustration, the plated area of the needle portion having intermediate plating and outer plating 3 and the plated area of the needle portion having radioactive plating, intermediate plating and outer plating 4 are colored differently. Plated area 4 is part of the tubular shaft 2 plated with a layer of radioactive metal which is covered by an intermediate layer of plating material such as nickel and an outer layer of plating material such as gold. Plated area 3 is part of the tubular shaft 2 plated only with the intermediate layer such as nickel and outer layer such as gold. Since areas 3 and 4 are both plated with the same outer layer of material, they will be visually indistinguishable.

It would have been obvious to one of ordinary skill in the art at the time of the invention modify the stainless steel needle of King et al. to include a nickel coating in order to prevent the decomposition of the stainless steel.

Allowable Subject Matter

8. Claim 1 is allowed.

9. The following is a statement of reasons for the indication of allowable subject matter: The prior art does not teach nor fairly suggest a needle of a non-noble base metal having an outer surface coated with a first coating material that includes a noble metal including platinum, a platinum group metal, or gold and an interior surface coated with a second coating of a thin film of quartz..

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

11. Steward, Jeffrey et al.; Klass, Michael Jay; Woloszko, Jean; Woloszko, Jean et al.; Hiblar, Thomas et al.; Woloszko; Jean; Dam; Chuong Q. et al. ; Humphrey; Grant S. Klass; Michael Jay; Ohtake; Shigemitsu et al.; Whitbourne; Richard J.; Hussman; Karl L.; Whitbourne; Richard J.; Band; David M. et al.; Garg; Diwakar et al.; Kanabrocki; Eugene L.; Bailey; Ronald L.; Birch; Leslie William et al.; and Minagawa; Hirotaka et al. disclose coated needle devices.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian R. Gordon whose telephone number is 571-272-1258. The examiner can normally be reached on M-F, with 2nd and 4th F off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 571-272-1267. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



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